

Subject	Decisions taken Between Meetings of the Authority	Status	For Publication
Report to	Authority	Date	07 September 2023
Report of	Head of Governance		
Equality Impact Assessment	Not Required	Attached	No
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1 Purpose of the Report

- 1.1 To report on decisions taken as a matter of urgency between meetings of the Authority.
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2 Recommendations

- 2.1 Members are recommended to:
- a. **Note the decisions taken between meetings of the Authority using the appropriate urgency procedures.**
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3 Link to Corporate Objectives

- 3.1 This report links to the delivery of the following corporate objectives:
Effective and Transparent Governance

To uphold effective governance showing prudence and propriety at all times.

4 Implications for the Corporate Risk Register

- 4.1 One of the decisions taken relates to the identified risks around the ability of Border to Coast to deliver effectively, while the other relates to the effective operation of the .

5 Background and Options

- 5.1 It is often necessary for decisions to be taken between meetings of the Authority due to the time sensitive nature of the matters involved. These decisions are taken by the Chair in consultation with the s41 members and the Director and, while published on the Authority's website, are also reported to the next Authority meeting for transparency.
- 5.2 One decision has been required since the previous meeting of the Authority.

- 5.3 Border to Coast circulated several shareholder resolutions for approval at the Annual Meeting of shareholders. The Annual AGM in July requested formal confirmation of appointing SYPA as a shareholder of Border to Coast Pensions Partnership Limited. SYPA were therefore requested to vote on the following resolutions below:
- i. Receive the financial statements of the Company for the year ended 31 March 2023.
 - ii. Re-appoint the external auditors, KPMG LLP, to audit the financial statements for the year to 31 March 2024 and authorise the directors to agree the external auditors' fee.
 - iii. Note the Directors' Outside Business Interests Policy
 - iv. Receive the Register of Directors' Interests.
 - v. Approve the reappointment of Andrew November as a Non-Executive Director.
 - vi. Approve a four-year extension of the term of Kate Guthrie's contract as a non-executive director until 30 September 2027.
 - vii. Approve a one-year extension of the term of John Holtby's contract as non-executive director until 30 September 2024.
 - viii. Approve the Board's appointment of Richard Hawkins as a new non-executive director of the Company, subject to necessary checks as required under the Financial Conduct Authority's Senior Managers and Certification Regime
- 5.4 The first four resolutions are routine course of business and are therefore supported. Consultation was undertaken with the Chair and the Director who are supportive of the remaining resolutions. The decision was therefore taken to approve all of the resolutions.

6 **Implications**

6.1 The proposals outlined in this report have the following implications:

Financial	The proposals approved reflect the assumptions made in setting the company's budget.
Human Resources	None
ICT	None
Legal	None
Procurement	None

Jo Stone, Head of Governance

Monitoring Officer

Background Papers	
Document	Place of Inspection
Published Decision Records	